

3627



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Muralidhar, Sanjay P.

Serial No. : 09/435,168

Filed: November 5, 1999

Title: METHOD, APPARATUS, AND ARTICLE-OF-MANUFACTURE FOR THE CREATION, ISSUANCE, VALUATION/PRICING, TRADING, AND EXERCISE OF OPTIONS FOR ATTENDANCE RIGHTS, AND DERIVATIVE INSTRUMENTS THEREON

Art Unit: 3627

Examiner: Florian M. Zeender

Attorney Docket No.: 056153-5001

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**GROUP 3600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is an Amendment responding to the Office Action dated January 31, 2003.

2. Additional papers enclosed:

- ☐ Drawings: ☐ Formal ☐ Informal (Correction)
- ☐ Supplemental Information Disclosure Statement
- ☐ Form PTO-1449, \_\_ references included
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Declaration

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>Fee for Small Entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 410.00	\$ 205.00
<input type="checkbox"/> three months	\$ 930.00	\$ 465.00
<input type="checkbox"/> four months	\$ 1,450.00	\$ 725.00
<input type="checkbox"/> five months	\$ 1,970.00	\$ 985.00

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

5. Fee Calculation (37 C.F.R. §1.16)

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	1	minus	38	0	x \$18 each=	+ \$
Independent Claims (37 C.F.R. §1.16(b))	1	minus	3	0	x \$84 each=	+ \$
[ ] First presentation of Multiple dependent claim(s)					\$280.00	+ \$
SUB-TOTAL =						\$
Reduction by ½ for filing by a small entity						- \$
TOTAL FEE =						\$ 0

6. Fee Payment

- ☒ No fee is to be paid at this time.
- ☐ The Commissioner is hereby authorized to withdraw \$\_\_\_\_\_ from Deposit Account 50-0310 for a three-month extension of time.
- ☐ Check in the amount of \$\_\_\_\_\_ for \_\_\_\_\_. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including fees due under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Dated: 4/30/2003

By: Steven R. Bartholomew  
Steven R. Bartholomew  
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Hon. Commissioner of  
Patents and Trademarks  
Box: Fee Amendment  
Washington, DC 20231

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**AMENDMENT AND REQUEST FOR RECONSIDERATION**

This Amendment is filed in response to the Examiner's Final Office Action of January 31, 2003, for which a three month response period was specified. Entry of this Amendment is respectfully solicited, as it places the application in condition for allowance because all unallowed claims have been cancelled. Reconsideration in view of the present Amendment and accompanying Remarks is respectfully requested.

**In the Claims:**

Cancel claims 1-24 and 26-38 without prejudice or disclaimer. Amend claim 25 as

follows:

25. (Amended): A computer-based method for marketing attendance right options, the method comprising the steps of:

storing, in a computer, information related to attendance right options;